

Intralinks Compliance Suite

Comprehensive insight into all VDRs touching your organization

For compliance professionals, managing risk is a top priority. Virtual data rooms (VDRs) are critical tools in M&A, banking and capital markets transactions as they facilitate secure and compliant information exchange across internal and external deal stakeholders and collaborators, minimizing external risk.

But what about **internal risk**? What happens when an employee inadvertently gains access to a VDR with MNPI or PII, or when they leave the firm or deal team? The consequences of malicious or accidental breaches can be dire: insider trading charges, steep fines, loss of business, and reputational damage.

As the pioneer and leader in VDR technology, we are well-versed in the issues facing compliance, risk, legal and operational professionals supporting financial transactions. Intralinks is the **only VDR provider** to offer comprehensive, detailed and actionable tools to mitigate the dangers of data exposure.

On average, an Intralinks client firm has **680 users** who can access a total of **3,670 VDRs**.*

*Average based on top 75 Intralinks customers by AUM.

Know your firm's risk and exposure.

Contact Intralinks for specific details on your organization's exposure to VDRs, transactions, MNPI, PII and other sensitive information.



ACCESS GATEKEEPER (AG)

Manage user access to all Intralinks VDRs for your organization

- Know who has access to which VDR(s)
- Restrict access to public-side information
- Enforce insider trading policies



ACCESS MONITORING SERVICE (AMS)

Get visibility into users' exposure to data

- User and document access activity
- Daily, weekly or as-needed reports
- Who accessed MNPI & PII



COMPLIANCE LINK

Obtain reports on all VDR-related communications

- Attachments, emails, files, folders
- Date, sender, recipients, workflow
- Alerts received/sent, any custom text added
- Q&A messages and activity
- All e-communication between users
- XML or RFC 2822 formats



ARCHIVES

Full records of VDRs in hard drive or downloadable format; available on a per deal or project basis

- All folder structures
- User activity
- Document access logs
- Q&A activity
- Reports
- Document version history

Keep up with the growing regulatory landscape

Intralinks solutions can help address a multitude of industry regulations.
Contact us to learn how we can support your regulatory priorities, including:

Data governance and retention

- BCBS 239
- CFPB Disclosure of Records and Information
- FCA FG 15/6
- FINRA 3110, 3120, 4511, 4513
- FINRA Reg Notice 07-59
- SEC Rules 17a-3, 17a-4, 17a-5, 17g-5
- NYDFS Cybersecurity Regulation (23 NYCRR 500)

Individual accountability monitoring

- Sarbanes-Oxley Act (SOX)
- MiFID II
- BCBS (Basel Committee/cross-border bank resolution group)
- Individual Accountability Policy (US DOJ)
- Senior Managers and Certification Regime (UK FCA)
- Sarbanes-Oxley Act (SOX)

Anti-money laundering

- AMLA 2020
- PATRIOT Act
- Bank Secrecy Act

Capital and liquidity reporting

- Central Securities Depositories Regulation (CSDR)
- Securities Financing Transaction Reporting (SFTFTR)

Trust our brand, service and the security we consistently deliver.

USD 35
trillion+

worth of sensitive, high-value
transactions were facilitated by
Intralinks in the last 25+ years

6.6M+
professionals

use Intralinks for capital
markets transactions and
regulatory compliance

857K
firms in 147 countries
and 76 industries

including all of the major banks and
many of the Fortune 1000 trust Intralinks

Contact us for more information intralinks.com/contact

